

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Group Art Unit: 2604  
)  
RYOICHI SHIMIZU ) Examiner: Not Assigned  
)  
Appln. No. 08/883,322 ) **RESPONSE TO NOTICE TO FILE MISSING**  
) **PARTS OF APPLICATION AND SUBMISSION**  
Filed: June 26, 1997 ) **OF COMBINED POWER OF ATTORNEY AND**  
) **DECLARATION AND FORMAL DRAWINGS**  
For: SELECTABLE RECORDING FRAME )  
RATE VIDEO TAPE RECORDER ) 2001 Ferry Building  
) San Francisco, CA 94111  
) 415/433-4150

#3

Application Processing Division  
Special Processing and  
Correspondence Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed November 18, 1997 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney and five (5) sheets of formal drawings.

A check in the amount of \$130.00 is enclosed herewith to cover the \$130.00 surcharge for filing missing parts of an application.


The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

December 23, 1997  
(Date)

By:

  
Charles P. Sammut  
Registration No. 28,901

SONY-P7510  
(S97P0510US00)

Attorneys for Applicant(s)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 23, 1997.

LIMBACH & LIMBACH L.L.P.

Date: 12/23/97

By:

  
Name: Susan Ozanne

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NOV 24 1997

Limbach & Limbach



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

08/883,322 06/26/97 SHIMIZU

R SONYP7510

SXS  
PHILIP M SHAW JR  
LIMBACH & LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

0242/1118

NOT ASSIGNED

DATE MAILED: 2604

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

11/18/97

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 08/883,322         | 06/26/97    | 2604         | \$930.00      | SONYP7510           | 5     | 14     | 5      |

*SXS*  
PHILIP M SHAW JR  
LIMBACH & LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

RYOICHI SHIMIZU, KANAGAWA, JAPAN.

FOREIGN/PCT APPLICATIONS-JAPAN

P08-168013

06/27/96

TITLE

SELECTABLE RECORDING FRAME RATE VIDEO TAPE RECORDER

PRELIMINARY CLASS: 386

PRIOR ART STATEMENT DUE: \_\_\_\_\_

FOREIGN FILING LETTER DUE: \_\_\_\_\_

FOREIGN FILING DEADLINE: \_\_\_\_\_

*18* MONTH SUSPENSE DATE: *12/20/98*

*m*  
*cal*

LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128) ); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j) ); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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Serial/Reg. No. 08/883,322 L&L File No. SONY-P7510 By: CPS/SEO  
In the Matter of the Application of: RYOICHI SHIMIZU  
Title/Inventor: SELECTABLE RECORDING FRAME-RATE VIDEO TAPE RECORDER

Date Mailed: December 23, 1997

Due Date: - -

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Transmittal Letter                                       | <input type="checkbox"/> PCT Request / _____ pages   |
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| <input type="checkbox"/> _____ pgs. specification; _____ pgs. claims              | <input type="checkbox"/> Demand for Preliminary Examination / _____ pages  |
| <input checked="" type="checkbox"/> Drawings _____ sheets formal _____ informal   | <input type="checkbox"/> Forms PCT/IB/301, 304, and 308  |
| <input type="checkbox"/> Declaration/Claim of Priority                            | <input type="checkbox"/> Transmittal Letter to U.S. Designated/Elected Office (DO/EQ/US) Form PTO 1390                     |
| <input type="checkbox"/> Power of Attorney  | <input type="checkbox"/> Copy of Int'l Appln. as filed including PCT Request, Specification, Claims, Abstract and Drawings |
| <input checked="" type="checkbox"/> Assignment w/ Form PTO-1595                   | <input type="checkbox"/> Copy of Int'l Search Report in English/   |
| <input type="checkbox"/> Deposit Account Authorization (in duplicate)             | <input type="checkbox"/> Certified copy/translation of _____ Priority  |
| <input checked="" type="checkbox"/> Information Disclosure Statement, PTO-1449 w/ | <input type="checkbox"/> _____ filed   |
| <input checked="" type="checkbox"/> Check \$ 40.00                                | <input type="checkbox"/> Copy of Published International Application   |
| <input type="checkbox"/> Request for Extension of Time (_____ months)             | <input type="checkbox"/> Copy of International Search Report   |
| <input type="checkbox"/> Amendment/Response                                       | <input type="checkbox"/> Petition for _____  |
| <input type="checkbox"/> Terminal Disclaimer                                      | <input type="checkbox"/> Other: _____  |
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DEC 29 1997  
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Serial/Model No. 08/883,322

LAI File No. SONY-P7510

By: CES/SEO

In the Matter of the Application of: RYOICHI SHIMIZU

Title/Model: SELECTABLE RECORDING FRAME RATE VIDEO TAPE RECORDER

Date Mailed: December 23, 1997

Due Date: January 18, 1997

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> _____ pgs. specification; _____ pgs. claims   | <input type="checkbox"/> Demand for Preliminary Examination / _____ pages     |
| <input checked="" type="checkbox"/> Drawings 5 sheets <input checked="" type="checkbox"/> formal <input type="checkbox"/> informal | <input type="checkbox"/> Forms PCT/IB/301, 304, and 308                       |
| <input checked="" type="checkbox"/> Declaration/Claim of Priority ) Combined   | <input type="checkbox"/> Transmittal Letter to U.S. Designated/Elected Office |
| <input checked="" type="checkbox"/> Power of Attorney  | <input type="checkbox"/> (DO/EO/US) Form PTO 1390                             |
| <input type="checkbox"/> Assignment w/ Form PTO-1595   | <input type="checkbox"/> Copy of Int'l Appln. as filed including PCT Request  |
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| <input checked="" type="checkbox"/> Certificate under §3.73(b)   | <input type="checkbox"/> Other: _____   |
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